



OFFICE OF THE  
**Dy. DIRECTOR OF INCOME TAX  
(CRIMINAL INVESTIGATION)-I**

Room No 1204 / 1209, 12<sup>th</sup> Floor, Earnest House  
Nariman Point. Mumbai-400 021. Tel: 022-22880227, 22885721

**ITNS-25**  
**SUMMONS TO ASSESSEE/WITNESSES UNDER SECTION**  
**131(1A) OF THE INCOME TAX ACT, 1961**

Date: 6<sup>th</sup> January, 2014.

Ref: CI-1/ NSEL Member 364 / AAAC0102F / 2013-14

To,  
The State Trading Corporation Of India Ltd.  
Jawahar Vyapar Bhawan, Tolstoy Marg,  
New Delhi, 110001  
Delhi



Whereas your attendance is required in connection with proceedings under the Income tax Act, 1961, in the following cases you are hereby required to attend my office either personally or through an authorized representative at the above mentioned address on **20.01.2014 at 11.00 a.m.** to give evidence and / or to produce documents specified below, and not to depart until you receive my permission to do so. Without prejudice to the provisions of any other law for the time being in force, if you intentionally omit to so attend and give evidence or produce the documents, a fine up to Rs.10,000/- may be imposed upon you under section 272A(1)(c) of the Income Tax Act, 1961

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Yours faithfully,

(SANJEEV CHAGANTI)  
Deputy Director of Income-tax  
(Criminal Investigation)-I  
Mumbai

**Information / Documents to be produced:** As per the letter / questionnaire enclosed

**Note:** Submission of the information in full will be treated as sufficient compliance to the Summons.

**ANNEXURE TO SUMMONS U/S.131(1A) OF THE INCOME-TAX ACT, 1961**

You are required to furnish following information in respect of your income and your dealing in National Spot Exchange Ltd (NSEL hereafter).

1. Details about your entity:

- (a) Last assessment year for which return is filed, designation of the Assessing Officer and PAN.
- (b) Name, address, contact numbers and PAN of all Directors/Partners.
- (c) Copy of Income tax return and audited accounts with schedules thereto for the F.Yrs. 2010-11, 2011-12, 2012-13

2. Information related to your own trading in NSEL:

- (d) Details of contracts purchased/sold on NSEL during the F.Yrs. 2010-11, 2011-12, 2012-13 & 2013-14 (to date).
- (e) Contract-wise details of profit/losses on such contracts for each of the years referred to at (d) above in respect of contract entered into on your own behalf.
- (f) Contract-wise details of profit / losses on such contracts for each of the years referred to at (c) above in respect of contract entered into on behalf of each of your client /investor (specify the name and PAN of the client / investor). Indicate commission / service charges / margin retained by you from such profit for services rendered.

3. Information related to your dealings in NSEL on behalf of your clients/investors. Please furnish the following further information in respect of each of the client / investor for F. Yrs. 2010-11, 2011-12, 2012-13 & 2013-14 (to date), having transaction value exceeding Rs.10 lakhs.

- a) Name and complete address of the client, PIN Code, Telephone number, name of the bank, address of the branch and account number and PAN, total sale value, total purchase value of such client / investor. *(in the format given)*
- b) Copy of ledger account of the clients/investor. *(in softcopy in Excel format)*
- c) Amount of return/profit passed on to such clients/ investors year wise.
- d) Contract-wise details of profit / losses on such contracts for each of the years referred to at (3) above in respect of contract entered into on behalf of each of your client /investor (specify the name and PAN of the client / investor). Indicate commission / service charges / margin retained by you from such profit for services rendered.
- e) Documents / information relating to identification (KYC) of each of your client / investor wherever PAN is not available.

**P.T.O.**

- f) Copy of typical agreement entered into with the investors/clients (if more than one kind of agreement has been entered into, please furnish a sample copy of all the different kinds of agreement).

4.

- a. The above Information is sought u/s. 131(1A) of the I.T. Act, 1961 and should be furnished within one week of receipt of this notice..
- b. Information relating to you (*as specified at 1 & 2*) should be furnished in **hard copy as well as soft copy**. All the data should be in Excel Format.
- c. Information relating to your clients / customers [*as specified in 3(a) to 3(d)*] should be furnished in **soft copy** in Excel Format in the following format :

S. No	Client code / distinctive identity No	PAN	Name	Complete address Address 1 (Flat No. & Bldg. No. or name)	Address 2 (Street, Area)	Address 3 (City and District, if any)	Address 4 (State)
1	2	3	4	5	6	7	8
Address 5 (PIN)	Telephone/ Mobile No.	Details of Bank Accounts along with Branch details (clearly in separate columns)	Purchase Date	Total Purchase value (Contract wise)	Sale Date	Total Sale Value (Contract Wise)	Profit / gain / loss
(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)

**NOTE: SUBMISSION OF REQUIRED INFORMATION/DOCUMENTS WILL BE TREATED AS SUFFICIENT COMPLIANCE TO SUMMONS AND NO PERSONAL ATENDANCE IS NECESSARY.**

(SANJEEV CHAGANTI)

Dy. Director of Income Tax  
Criminal Investigation – I  
Mumbai